

PATENT APPLICATION

Attorney Docket: YOR920010451US1 (8728-528)

In re application of: Wang et al.

Serial No.: 09/925,596

Group Art Unit: 2172

Filed: August 9, 2001

Examiner: Ehichioya, Fred I.

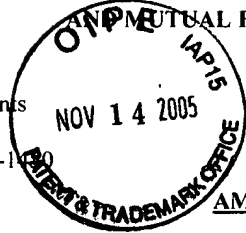
For: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING STOCK
ANNUAL FUND GRAMMARS IN SPEECH RECOGNITION SYSTEMS

Mail Stop RCE

Commissioner for Patents

PO Box 1450

Alexandria VA 22313-1450



AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

| | (Col. 1) | (Col. 2) | (Col. 3) | SMALL ENTITY | OTHER THAN SMALL ENTITY |
|---|---|---------------------------------------|------------------|--------------|----------------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDIT. FEE |
| TOTAL | 33* | MINUS 33** | = 0 | X 25 | \$ 0 |
| INDEP. | 3* | MINUS 3*** | = 0 | X 100 | \$ 0 |
| [] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | X 180 | \$ 0 |
| TOTAL | | | | | OR TOTAL \$ 0 |
| ADDIT. FEE | | | | | \$ 0 |

*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

**If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

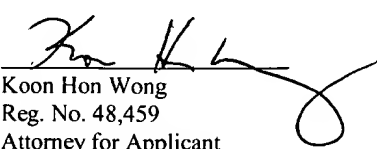
***If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. ____ in the amount of \$ ____ . Two (2) copies of this sheet are enclosed.
- ☐ Please charge fee of \$ ____ for ____ by Credit Card Payment Form PTO-2038 enclosed herewith
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

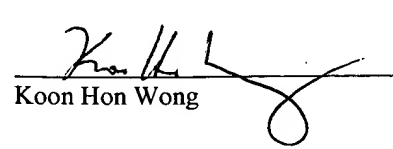
F. CHAU & ASSOCIATES, LLC
130 Woodbury Road
Woodbury New York 11797
Tel: (516) 692-8888
Fax: (516) 692-8889

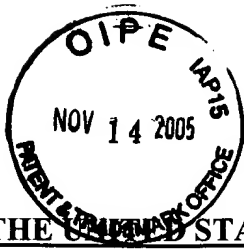

Koon Hon Wong
Reg. No. 48,459
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop RCE, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on November 9, 2005.

Dated: 11-9-05


Koon Hon Wong



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wang et al. Examiner: F. Ehichioya
Serial No: 09/925,596 Group Art Unit: 2172
Filed: August 9, 2001 Docket: YOR9-2001-0451 (8728-528)
For: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING
STOCK AND MUTUAL FUND GRAMMARS IN SPEECH
RECOGNITION SYSTEMS

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION

Sir:

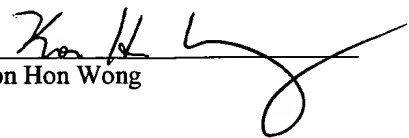
This is a response to the Final Office Action mailed September 9, 2005.

Reconsideration of the above-identified application is respectfully requested.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop RCE, Commissioner for Patents, PO Box 1450, Alexandria VA, 22313-1450 on November 9, 2005.

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